

Return via Standard Mail  
Corporate Capital Trust, Inc.  
PO Box 219984  
Kansas City, MO 64121-9984

Return via Overnight Delivery  
Corporate Capital Trust, Inc.  
430 W. 7th Street, Ste. 219984  
Kansas City, MO 64105-1407

Customer Service  
Toll-Free (877) 628-8575  
Fax (844) 871-9770

# CORPORATE CAPITAL TRUST

## Transfer on Death Beneficiary Registration Notice

### Instructions

These are the instructions for DST Systems Inc. (DST), as transfer agent for Corporate Capital Trust, Inc. to register your account as a Transfer on Death (TOD) account. Missouri law allows DST to register your account in TOD form because DST, as transfer agent for the account, is located in Missouri. Missouri law also allows DST to adopt rules governing TOD registrations and to refuse to register accounts as TOD.

These instructions are intended to provide you with notice of the rules, adopted by DST, which govern your TOD account. These rules have been established by DST and govern the acceptance and execution of TOD directions by us under Missouri law (Section 461.003 et. seq. RSMo). DST, which is not obligated to effectuate TOD registrations, is willing to do so only upon the terms and conditions outlined herein. If you find these rules to be unacceptable, please advise us of this and an acceptable alternative registration so that your account may be re-registered without any TOD designations.

Only simple beneficiary designations will be accepted for TOD directions. Complicated directions should be accomplished through a will or trust drawn by the account owner's attorney.

By providing you with these rules, DST does not intend to give, and is not giving, any advice as to the legal effect of a TOD registration under applicable state laws governing inheritance and probate procedures. Since TOD directions do effect the disposition of the account owner's property at death, you should consult with your attorney to make certain that the direction is consistent with your estate planning and tax planning objectives.

These rules are established by DST and may be canceled or amended from time to time by DST upon prior written notice to you.

### one Rules Section

#### Article 1. Proper designation of beneficiaries

**Rule** The account owner may designate one or more than one beneficiary of the TOD account. Beneficiaries are required to provide their Social Security Number or Tax ID Number. Beneficiaries are not "account owners" as the term is used herein. DST reserves the right to limit the number of beneficiaries in light of the space made available for registration by its computer system and processing constraints.

#### Article 2. Primary and contingent beneficiaries

**Rule** The account owner may designate primary and contingent beneficiaries of the TOD account. Primary Beneficiaries are the first in line to receive the account upon the death of the account owner. Contingent Beneficiaries receive the account upon the death of the account owner if, and only if, there are no surviving primary beneficiaries. The account owner does not have to designate any contingent beneficiaries. DST reserves the right to limit the number of primary or contingent beneficiaries in light of the space made available by its computer system and processing constraints.

#### Article 3. Minors as beneficiaries

**Rule** Minors may be beneficiaries of a TOD account only if a custodian, trustee, or guardian is set forth for the minor. By not providing a custodian, trustee, or guardian, the account owner is representing that all of the named beneficiaries have reached the age of majority and therefore are not minors.

#### Article 4. Status of beneficiaries

**Rule** Beneficiaries have no rights in the account until the death of the account owner or last surviving joint owner.

#### Article 5. Joint owners are joint tenants with rights of survivorship

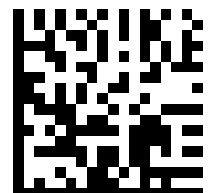
**Rule** If more than one person is the owner of an account registered or to be registered TOD, the joint owners of the account must own the account as joint tenants with rights of survivorship. Beneficiaries will have no rights in the account until the death of the last surviving joint owner.

#### Article 6. Default treatment of beneficiaries as Tenants in Common

**Rule** Where an account owner instructs DST to register an account in TOD form and names multiple beneficiaries, DST will register the multiple beneficiaries as tenants in common (TEN COM) unless the account owner expressly directs DST to register the account to the beneficiaries in another ownership form, such as joint tenants with rights of survivorship.

#### Article 7. Rights of designated beneficiaries

**Rule** a. If an account owner registers his or her account as a TOD account, and wishes to have the account pass to the children of the



## Rules Section (continued)

designated beneficiary in the event the designated beneficiary dies before the account owner, the account owner must specifically state this in the instruction to register the account. If the phrase "Lineal descendants per stirpes," abbreviated LDPS, does not appear on the account, the children of beneficiaries who die before the account owner will not receive a portion of the account.

### Article 7. Rights of designated beneficiaries

**Rule b.** If a TOD account with multiple beneficiaries is registered LDPS, the LDPS registration must apply to all beneficiaries.

- c. If the account owner does not specify that the account should be registered LDPS, the account will not be registered LDPS and a beneficiary must survive the account owner to take the account or his or her part of the account. In the case of multiple beneficiaries, if one of the beneficiaries does not survive the account owner, the deceased beneficiary's share of the account will be divided equally among the remaining beneficiaries upon the death of the account owner(s). If no beneficiary survives the account owner, the account will be treated as part of the estate of the account owner.

### Article 8. Division of account

**Rule** Account owners may register the TOD account to multiple beneficiaries in unequal or fractional shares of the account upon the death of the account owner.

### Article 9. Revocations or changes

**Rule** An account owner or all joint owners may revoke or change a beneficiary designation. Change or revocation requires:

- a. Endorsement by the owner or all of the joint owners, with signature guaranteed; and
- b. Instructions indicating either a new form of registration or designating a new TOD beneficiary.

### Article 10. Controlling terms

**Rule** The language as set forth in the TOD account registration shall control at all times. The person or persons set forth as the beneficiaries of the account shall remain the beneficiaries of the account and events subsequent to the registration of the account as a TOD account shall not change either the rights of the persons designated as beneficiaries or the status of the account as a TOD account unless DST is expressly instructed by the account owner to change the status of the account or the beneficiary designation prior to the account owner's death.

- a. **Divorce** - If the account owner designated his or her spouse as a TOD beneficiary of the account, and subsequently the account owner and the beneficiary are divorced, the fact of the divorce will not automatically revoke the beneficiary designation. If the account owner wishes to revoke the beneficiary designation the account owner must notify DST of the desired change in a writing complying with the rules set forth in number 9 above.
- b. **Will or other testamentary document** - Will or other testamentary document - The beneficiary designation may not be revoked by the account owner(s) by the provisions of a will or a codicil to a will.
- c. **Dividends, interest, capital gains and other distributions after the account owner's death:**
  - 1) Accruals to the account which occur after the death of the account owner or last surviving joint owner and are still in the account when it is re-registered to the beneficiary(ies) stay with the account and pass to the beneficiary or beneficiaries.
  - 2) Where the account has been coded for cash distributions, and such distributions have actually been paid out prior to notice to DST of the death of the account owner, such distributions are deemed to be the property of the estate of the original account owner and do not pass with the account to the designated beneficiaries.

### Article 11. TOD registrations may not be made irrevocable

**Rule** Any questions account owners have concerning the foregoing should be put in writing and directed to Corporate Capital Trust, Inc. The letter should include the account owner's name, the name of the investment product and the account number. The rules enumerated in this letter have been adopted by DST and govern the TOD account. If the TOD registration upon these terms and conditions is not acceptable "as is", the account registration should be changed. To change an account registration, Corporate Capital Trust, Inc. must receive a letter of instruction signed by all account owners and signature guaranteed, directing Corporate Capital Trust, Inc. as to how the account should be re-registered. The account will then be re-registered without any TOD designations. If the rules are acceptable, no further action is required. Failure to respond shall be deemed to evidence consent to the applicability of the rules to the account.

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## Notices

**Distribution Reinvestment Plan ("DRP"):** Please be advised that any and all future shares received as a result of participation in the DRP shall be subject to, bound by and distributed according to the instructions and designations you elect on this form.

**IMPORTANT NOTICE:** Corporate Capital Trust, Inc. will only honor a TOD direction that has been recorded on Corporate Capital Trust, Inc.'s registration records. TOD directions, changes and revocations will only be accepted when in proper form. Proper form requires each of the following:

- Endorsement by the owner(s) with acceptable medallion signature guarantee stamp(s).
- Instruction indicating either a new form of registration, removal of the current TOD beneficiary and/or designating a new TOD beneficiary.
- Actual receipt by Corporate Capital Trust, Inc. prior to death of the owner(s).

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# CORPORATE CAPITAL TRUST

## Transfer on Death Beneficiary Registration Form

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### Investor Information

Please type or use  
BLOCK letters.

Name of Investor	Social Security Number	Name
of Co-Investor (if applicable)	Social Security Number	Account
Number		
Mailing Address		
City	State	Zip Code

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### Beneficiary Designation

Select one.

Add  Change  Delete

For additional  
beneficiaries, complete  
and sign an additional  
form and attach.

Beneficiary	<input type="checkbox"/> Primary <input type="checkbox"/> Contingent	Social Security or Tax ID Number (Required)
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If more than one Primary  
Beneficiary or more than  
one Contingent  
Beneficiary is selected and  
no percentage is  
indicated, the assets will  
be divided equally among  
each Primary or  
Contingent Beneficiary.

Share %	Custodian/Guardian/Trustee (if applicable)
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Beneficiary	<input type="checkbox"/> Primary <input type="checkbox"/> Contingent	Social Security or Tax ID Number (Required)
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Share %	Custodian/Guardian/Trustee (if applicable)
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By signing below, I/we request that my/our investment in Corporate Capital Trust, Inc. be registered in TOD form, and designate the beneficiary(ies) listed above as the one(s) to whom the investment shall pass after I am/we are deceased. I/we have also received and read the TOD Beneficiary Registration Notice and acknowledge that Corporate Capital Trust, Inc. is not responsible for the validity or enforceability of my/our TOD designations by my/our state of domicile.

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### Authorized Signatures

Medallion Signature  
Guarantee Stamp is  
not required for new  
purchases, however  
Medallion Signature  
Guarantee Stamp is  
required for changing any  
existing registrations.

Signature of Investor	Date
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Signature of Co-Investor (or spouse if community property state)	Date
--	------

Medallion Signature Guarantee Stamp

Co-Medallion Signature Guarantee Stamp